

JC10 Rec'd PCT/PTO 07 NOV 2005

PTO-1390 (Rev. 07-2005)

Approved for use through 03/31/2007. OMB 0651-0021

U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER 12810-00151-US US APPLICATION NO. (if known, see 37 CFR 1.5) <b>10/555719</b>
INTERNATIONAL APPLICATION NO. PCT/EP2004/004748	INTERNATIONAL FILING DATE 5 May 2004	PRIORITY DATE CLAIMED 9 May 2003
TITLE OF INVENTION: METHOD FOR PRODUCING A HYDROXYLATION CATALYST AND THE USE THEREOF		
APPLICANT(S) FOR DO/EO/US: Bernhard Hauer et al.		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
Items 11 to 20 below concern document(s) or information included:		
<p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A preliminary amendment.</p> <p>14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input checked="" type="checkbox"/> A power of attorney and/or change of address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p>		

JC20 Rec'd PCT/PTO v 7 NOV 2005

PTO-1390 (Rev. 07-2005)

Approved for use through 03/31/2007. OMB 0651-0021

U. S. Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U. S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.65) <b>10/555719</b>	INTERNATIONAL APPLICATION NO. PCT/EP2004/004748	ATTORNEYS DOCKET NUMBER 12810-00151-US																																																																																				
20. <input checked="" type="checkbox"/> Other items or information: Form PCT/IPEA/409, Postcard, Certificate of Express Mail																																																																																						
<p>The following fees have been submitted</p> <table border="1"> <tr> <td>21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a)) .....</td> <td>\$300</td> <td>CALCULATIONS</td> <td>PTO USEONLY</td> </tr> <tr> <td><input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4).....\$0 All other situations .....\$200</td> <td></td> <td>\$ 200.00</td> <td></td> </tr> <tr> <td>23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) ..\$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority .....\$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB.....\$400 All other situations .....\$500</td> <td></td> <td>\$ 400.00</td> <td></td> </tr> <tr> <td colspan="2"><b>TOTAL OF 21, 22 and 23 =</b></td> <td>\$ 900.00</td> <td></td> </tr> <tr> <td colspan="2"><input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.</td> <td></td> <td></td> </tr> <tr> <td>Total Sheets</td> <td>Extra Sheets</td> <td>Number of each additional 50 or fraction thereof (round up to a whole number)</td> <td>RATE</td> </tr> <tr> <td>8 - 100 =</td> <td>/50 =</td> <td></td> <td>x \$250.00</td> </tr> <tr> <td colspan="4">Surcharge of \$130 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).</td> </tr> <tr> <td>CLAIMS</td> <td>NUMBER FILED</td> <td>NUMBER EXTRA</td> <td>RATE</td> </tr> <tr> <td>Total claims</td> <td>6 - 20 =</td> <td>x</td> <td>0.00</td> </tr> <tr> <td>Independent claims</td> <td>2 - 3 =</td> <td>x</td> <td>0.00</td> </tr> <tr> <td colspan="4">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> </tr> <tr> <td colspan="4"><b>TOTAL OF ABOVE CALCULATIONS =</b> \$ 900.00</td> </tr> <tr> <td colspan="4"><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.</td> </tr> <tr> <td colspan="4"><b>SUBTOTAL =</b> \$ 900.00</td> </tr> <tr> <td colspan="4">Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).</td> </tr> <tr> <td colspan="4"><b>TOTAL NATIONAL FEE =</b> \$ 900.00</td> </tr> <tr> <td colspan="4">Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +</td> </tr> <tr> <td colspan="4"><b>TOTAL FEES ENCLOSED =</b> \$ 900.00</td> </tr> <tr> <td colspan="2"></td> <td>Amount to be refunded:</td> <td>\$</td> </tr> <tr> <td colspan="2"></td> <td>Amount to be charged</td> <td>\$</td> </tr> </table>			21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a)) .....	\$300	CALCULATIONS	PTO USEONLY	<input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4).....\$0 All other situations .....\$200		\$ 200.00		23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) ..\$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority .....\$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB.....\$400 All other situations .....\$500		\$ 400.00		<b>TOTAL OF 21, 22 and 23 =</b>		\$ 900.00		<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.				Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE	8 - 100 =	/50 =		x \$250.00	Surcharge of \$130 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).				CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	6 - 20 =	x	0.00	Independent claims	2 - 3 =	x	0.00	MULTIPLE DEPENDENT CLAIM(S) (if applicable)				<b>TOTAL OF ABOVE CALCULATIONS =</b> \$ 900.00				<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.				<b>SUBTOTAL =</b> \$ 900.00				Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				<b>TOTAL NATIONAL FEE =</b> \$ 900.00				Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				<b>TOTAL FEES ENCLOSED =</b> \$ 900.00						Amount to be refunded:	\$			Amount to be charged	\$
21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a)) .....	\$300	CALCULATIONS	PTO USEONLY																																																																																			
<input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4).....\$0 All other situations .....\$200		\$ 200.00																																																																																				
23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) ..\$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority .....\$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB.....\$400 All other situations .....\$500		\$ 400.00																																																																																				
<b>TOTAL OF 21, 22 and 23 =</b>		\$ 900.00																																																																																				
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.																																																																																						
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE																																																																																			
8 - 100 =	/50 =		x \$250.00																																																																																			
Surcharge of \$130 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).																																																																																						
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE																																																																																			
Total claims	6 - 20 =	x	0.00																																																																																			
Independent claims	2 - 3 =	x	0.00																																																																																			
MULTIPLE DEPENDENT CLAIM(S) (if applicable)																																																																																						
<b>TOTAL OF ABOVE CALCULATIONS =</b> \$ 900.00																																																																																						
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.																																																																																						
<b>SUBTOTAL =</b> \$ 900.00																																																																																						
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).																																																																																						
<b>TOTAL NATIONAL FEE =</b> \$ 900.00																																																																																						
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +																																																																																						
<b>TOTAL FEES ENCLOSED =</b> \$ 900.00																																																																																						
		Amount to be refunded:	\$																																																																																			
		Amount to be charged	\$																																																																																			

10/555719

JC20 Rec'd PCT/TM 37 NOV 2008

PTO-1390 (Rev. 07-2005)

Approved for use through 03/31/2007. OMB 0651-0021

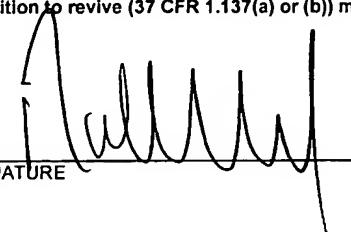
U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

- a.  A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.
- b.  Please charge my Deposit Account No. 03-2775 in the amount of \$ 900.00 to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 03-2775. A duplicate copy of this sheet is enclosed.
- d.  Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

  
SIGNATURE

Robert G. McMorrow, Jr.

NAME

CUSTOMER NUMBER: 23416

30,962  
REGISTRATION NUMBER

JC20 Rec'd 07 NOV 2005

PTO/SB/17 (12-04v2)

Approved for use through 7/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number.

<b>FEE TRANSMITTAL</b> <b>For FY 2005</b>		<i>Complete if Known</i> <b>719</b>	
		Application Number	Not Yet Assigned
		Filing Date	Concurrently Herewith
		First Named Inventor	Bernhard Hauer
		Examiner Name	Not Yet Assigned
		Art Unit	N/A
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		TOTAL AMOUNT OF PAYMENT (\$ 900.00)	
		Attorney Docket No. 12810-00151-US	

**METHOD OF PAYMENT** (check all that apply)

<input type="checkbox"/> Check	<input type="checkbox"/> Credit Card	<input type="checkbox"/> Money Order	<input type="checkbox"/> None	<input type="checkbox"/> Other (please identify): _____
<input checked="" type="checkbox"/> Deposit Account Deposit Account Number. 03-2775 Deposit Account Name: Connolly Bove Lodge & Hutz LLP				
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)				
<input checked="" type="checkbox"/> Charge fee(s) indicated below		<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee		
<input checked="" type="checkbox"/> Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17		<input checked="" type="checkbox"/> Credit any overpayments		

**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

<u>Application Type</u>	<u>FILING FEES</u>		<u>SEARCH FEES</u>		<u>EXAMINATION FEES</u>		<u>Fees Paid (\$)</u>
	<u>Fee (\$)</u>	<u>Small Entity Fee (\$)</u>	<u>Fee (\$)</u>	<u>Small Entity Fee (\$)</u>	<u>Fee (\$)</u>	<u>Small Entity Fee (\$)</u>	
Utility	300	150	500	250	200	100	900.00
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES**Fee Description

Each claim over 20 (including Reissues)

<u>Total Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
---------------------	---------------------	-----------------	----------------------

<u>Fee (\$)</u>	<u>Small Entity Fee (\$)</u>
-----------------	------------------------------

Each independent claim over 3 (including Reissues)

50	25
----	----

Multiple dependent claims

200	100
-----	-----

<u>Total Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>	<u>Multiple Dependent Claims</u>
---------------------	---------------------	-----------------	----------------------	----------------------------------

<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
-----------------	----------------------

<u>Indep. Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
----------------------	---------------------	-----------------	----------------------

<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
-----------------	----------------------

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Number of each additional 50 or fraction thereof</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
---------------------	---------------------	---	-----------------	----------------------

8	- 100 =	/50 (round up to a whole number) x	=	
---	---------	------------------------------------	---	--

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

300.00

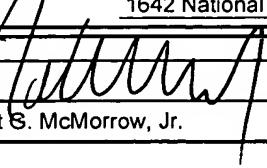
Other (e.g., late filing surcharge): 1631 Basic National Stage fee

200.00

1633 National Stage Examination Fee - all other ...

400.00

1642 National Stage Search Fee - search report ...

<b>SUBMITTED BY</b>			
Signature			Registration No. (Attorney/Agent) 30,962 Telephone (302) 658-9141
Name (Print/Type)	Robert S. McMorrow, Jr. Date Nov 6, 2005		

10/55719

Application No. (if known): Not Yet Assigned

Attorney Docket No.: 12810-00151-US

JC20 Rec'd USPTO 07 NOV 2005

## Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. EV686921481US in an envelope addressed to:

MS PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

on November 7, 2005  
Date



Signature

Jean M. Marshall

Typed or printed name of person signing Certificate

Registration Number, if applicable

(302) 658-9141

Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Transmittal Letter to the United States Designated-Elected Office

Fee Transmittal

Application Data Sheet

Copy of the International application with search report attached

Form PCT/IPEA/409

English language translation of the International application

Executed Combined Declaration and Power of Attorney

First Preliminary Amendment

Information Disclosure Statement, SB08, with references

Postcard

Authorization to charge \$900.00 to deposit account 03-2775



CONNOLLY BOVE LODGE & HUTZ LLP

ATTORNEYS AT LAW

10/555719 715

JC20 Rec'd PCT/PTO 07 NOV 2005

The Nemours Building  
1007 North Orange Street  
P.O. Box 2207  
Wilmington DE 19899  
TEL (302) 658 9141  
FAX (302) 658 5614

Robert G. McMorrow, Jr.  
Member Pennsylvania Bar  
TEL (302) 658 9141  
FAX (302) 658 5614  
EMAIL [rmcmorrow@cblh.com](mailto:rmcmorrow@cblh.com)  
REPLY TO Wilmington Office

1990 M Street, NW, Suite 800  
Washington DC 20036  
TEL (202) 331 7111  
FAX (202) 293 6229  
WEB [www.cblh.com](http://www.cblh.com)

November 7, 2005

***Via Electronic Mail***

Dr. Thomas Dörper  
BASF AKTIENGESELLSCHAFT  
Patents, Trademarks and Licenses  
GVX-C006  
D-67056 Ludwigshafen  
GERMANY

Re: U.S. Patent Application  
Title: METHOD FOR PRODUCING A HYDROXYLATION CATALYST AND THE USE  
THEREOF  
Appl. Ser. No. Not Yet Assigned, filed November 7, 2005  
Inventor: Hauer et al., Assignee: BASF AKTIENGESELLSCHAFT  
Your Ref: PF0000054508/DP; Our Ref: 12810-00151-US

Dear Dr. Dörper:

We are pleased to report the filing of the above-identified patent application in the U.S. Patent and Trademark Office on November 7, 2005. We filed a Preliminary Amendment (which removes multiple dependency) and an Information Disclosure Statement. A copy of the documents as filed, without prior art references, is enclosed for your records.

We should receive an official Filing Receipt bearing the application number and filing date in due course.

Please note that there is a continuing duty of disclosure as imposed under U.S. law to disclose all known prior art and other information that may be considered "material to patentability." If any further material information becomes known, please let us know.

If you have any questions or comments, please do not hesitate to contact us.

Very truly yours,

CONNOLLY BOVE LODGE & HUTZ LLP

Robert G. McMorrow, Jr.

RGMjr/jm  
Encl.  
424157